

**Short-Term Open Access in Inter-State Transmission**  
**(Bilateral Transaction)**

**Procedure for Scheduling**

**(As per CERC Open Access Regulations, 2008 (dated 25.01.2008) & subsequent  
(Amendment) Regulations, 2009 (dated 20.5.2009))**

*Prepared by*  
**THE CENTRAL TRANSMISSION UTILITY**  
**(POWER GRID CORPORATION OF INDIA LIMITED)**

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### FORMATS FOR SHORT-TERM OPEN ACCESS –BILATERAL TRANSACTION:

**FORMAT-I:** “APPLICATION FOR SCHEDULING”

**FORMAT-II:** “CONCURRENCE FROM SLDC”

**FORMAT-IIA:** “AFFIDAVIT – regarding Deemed Concurrence from SLDC”

**FORMAT-III:** “REQUEST /CONCURRENCE FROM RLDC”

**FORMAT-IV:** “CONGESTION INFORMATION–ADVANCE SCHEDULING”

**FORMAT-V:** “REQUEST FOR REVISION OF SCHEDULE- DUE TO CONGESTION”

**FORMAT-VI:** “ACCEPTANCE FOR SCHEDULING”

**FORMAT-VII:** “DETAILS OF PAYMENT”

**FORMAT-VIII:** “REGISTRATION FORM”

# Procedure for Scheduling of Short-Term Open Access (Bilateral Transaction)

(Revision No.: 0 Dated: 11.06.2009)

## 1. OUTLINE

- 1.1. This Procedure is in accordance with the various provisions of the “Central Electricity Regulatory Commission (Open Access in inter-State Transmission) Regulations, 2008”, dated 25.01.2008, and subsequent amendments thereof hereinafter referred to as “the Regulations”.
- 1.2. The Procedures shall apply to the Applications made for scheduling of Bilateral Transactions by availing of Short-Term Open Access for use of the transmission lines or associated facilities with such lines on the inter-State transmission system, received by the nodal RLDC on or after 15.06.2009.
- 1.3. All Applications received upto the date and time lines specified in “the Regulations” shall be taken up together for consideration. For Applications Received before 15.06.2009, the short-term open access charges shall be applicable as per CERC (Open Access inter-State Transmission) Regulations, 2008. For Applications Received on or after 15.06.2009, the short-term open access charges shall be applicable as per CERC (Open Access inter-State Transmission) (Amendment) Regulations, 2009.
- 1.4. For the Applications (under Advance Scheduling/ First cum First Served basis) received till 17:30 Hrs of 14.06.2009, the short-term open access charges shall be as per the original CERC (Open Access inter-State Transmission) Regulations, 2008. Similarly for Day-ahead scheduling, the applications received till 15:00 HRs of 14.06.2009, the short-term open access charges shall be as per the principal CERC (Open Access inter-State Transmission) Regulations, 2008. For Applications (under “Contingency” category) received till 24:00 HRs of 14.06.2009, the short-term open access charges shall be as per the principal CERC (Open Access inter-State Transmission) Regulations, 2008.
- 1.5. *For example:*  
*An applicant “X” has submitted an Application on 13.06.2009 for Advance Scheduling of Short-Term Open Access Bilateral Transaction for the month of August 2009 form 05.08.2009 to 25.08.2009. Another applicant “Y” has submitted an Application on 15.06.2009 for Advance Scheduling of Short-Term Open Access Bilateral Transaction for the month of August 2009 form 01.08.2009 to 20.08.2009. The nodal RLDC shall consider both the Applications together. In case of Applicant “X”, the short-term open access charges shall be as per CERC (Open Access inter-State Transmission) Regulations, 2008 and in case of Applicant “Y”, as per the Amendment Regulation, 2009.*

- 1.6 No retrospective adjustments for short-term open access charges shall be made for the already approved short-term open access bilateral transactions.

## **2. SUBMISSION OF APPLICATION**

- 2.1. An Application for scheduling of Bilateral Transaction through Short-Term Open Access in the inter-State transmission system shall be made to the Regional Load Despatch Centre of the Region where point of drawal of electricity is situated.
- 2.2. The Application for scheduling of a Bilateral Transaction shall contain the details, such as names and location of Supplier and Buyer, contracted power (MW) at the supplier interface, point of injection, point of drawal, starting time block and date, ending time block and date, and other information as per the enclosed format [*FORMAT-I: "Application for Scheduling"*].
- 2.3. An Application made for each Bilateral Transaction shall be accompanied by a non-refundable fee as specified in the Regulations. Provided that the fee for Bilateral Transaction on the day of the Application or on the day immediately following the day of the Application may be deposited within three working days of submission of the Application.
- 2.4. A copy of the Application shall be endorsed by the applicant to the following:
- a) Each RLDC involved in the transaction.
  - b) Each SLDC involved in the transaction.

## **3. CONCURRENCE OF STATE LOAD DESPATCH CENTRE**

- 3.1 Wherever the proposed Bilateral Transaction has a State Utility or an intra-State Entity as a Buyer or a Seller, concurrence of the concerned State Load Dispatch Centre shall be obtained in advance and submitted along with the Application. The Concurrence of the concerned SLDCs shall be submitted as per the enclosed format [*FORMAT-II: "Concurrence from SLDC"*].
- 3.2 Where "concurrence" or 'no objection' or "prior standing clearance", as the case may be, is deemed to have been granted by the concerned SLDC in accordance with clause 4 of the Regulation 8, the applicant while making application for Scheduling of Bilateral Transactions shall submit to the nodal agency an affidavit, duly notarized, as per the enclosed format. [*FORMAT-II A: "AFFIDAVIT – regarding Deemed Concurrence from SLDC"*]
- 3.3 Application(s) without the concurrence of concerned SLDCs will not be considered, except in case of "Concurrence" or 'No Objection' or "Prior Standing Clearance", as the case may be, is deemed to have been granted by the concerned SLDC in accordance with the second proviso to clause 4 of Regulation 8. In case of deemed concurrence, the Applicant must ensure that all the requirements under Regulation 8 have been duly complied with and submit the Application for scheduling of Bilateral Transactions alongwith the duly

notarized affidavit as per FORMAT –IIA. The Applicant shall serve a copy of the Affidavit alongwith the copy of the Application being endorsed to the concerned SLDC.

#### **4. CONCURRENCE OF REGIONAL LOAD DESPATCH CENTRE**

- 4.1. Wherever the proposed Bilateral Transaction has a State Utility or an intra-State Entity as a Buyer or a Seller in other region, the Nodal RLDC shall obtain concurrence of the concerned Regional Load Despatch Centre(s). The concurrence of the Regional Load Despatch Centre shall be as per enclosed format [*FORMAT-III: "Request/Concurrence from RLDCs"*].
- 4.2. RLDC shall first consider the Applications received by them, as nodal Agency, before giving concurrence / indicating constraint, to other RLDCs, for the Applications received, by the later.
- 4.3. In case of denial of access, the RLDC concerned shall furnish reasons for the same, in writing.

#### **5. PROCEDURE FOR ADVANCE SCHEDULING OF BILATERAL TRANSACTION**

- 5.1. An Application for Advance Scheduling for a Bilateral Transaction may be submitted to the nodal RLDC up to the fourth month, considering the month in which an Application is made being the first month.
- 5.2. A separate Application shall be made for each month and for each transaction in a month in a suitable cover marked "Application for Short-Term Open Access - Advance Scheduling for - <<Month-Year's name>>".
- 5.3.
  - (a) An Application for inter-State scheduling during the fourth month shall be made up to the last day of the first month.
  - (b) An Application for inter-State scheduling during the third month shall be made up to the five (5) days prior to the close of the first month.
  - (c) An Application for inter-State scheduling in the second month shall be made up to the ten (10) days prior to the close of the first month.
- 5.4. All the Applications received up to 17:30 Hrs on the last day as mentioned above shall be taken up together for consideration. Applications received after prescribed time shall not be considered.

- 5.5. While processing the Applications, the Nodal RLDC shall seek the concurrence of each of the other RLDCs involved in the transaction by 12:00 Hrs. on next day of the applicable last date for submission of Application.
- 5.6. The other RLDCs shall give their concurrence/denial latest by 20:00 Hrs on the same day on which request for concurrence is sent by the nodal RLDC.
- 5.7. In case of perceived congestion in transmission corridor, nodal RLDC on next day (i.e. 2nd day after the applicable last date for submission of Application) will inform the concerned applicant(s) as per enclosed format [*FORMAT-IV: "Congestion Information-Advance Scheduling"*] latest by 12:00 Hrs. By next day (i.e.; 3rd day after applicable last date for submission of Application) latest by 11:00 Hrs, the Applicants must inform the nodal RLDC as per enclosed format [*FORMAT-V: "Request for Revision of Schedule- Due to Congestion"*], the reduced request for Scheduling during the period of Congestion **or** opt for Scheduling only for the duration when no congestion is anticipated **or** opt for Scheduling through the alternate route. In case of non-receipt of revised request in time, it shall be presumed that the Applicant is not interested in revising it and nodal RLDC shall process the Application accordingly.
- 5.8. In case, the nodal RLDC still anticipates Congestion, it may invite electronic bids for advance scheduling on 4th day after applicable last date for submission of Application.
- 5.9. The nodal RLDC shall convey its acceptance or otherwise to the Applicant in five days from the last date of submission, as per enclosed format [*FORMAT-VI: "Acceptance for Scheduling"*]. In case, the nodal RLDC rejects an application ,it shall convey its reasons to the Applicant in writing

6. **e-BIDDING PROCEDURE.**

- 6.1. Bids shall be invited, from the concerned Applicants, for only such period during which congestion is expected to occur and for the Regional transmission system or inter-Regional corridor, whichever is expected to get over stressed.
- 6.2. The decision of the nodal RLDC in respect of an expected congestion shall be final and binding.
- 6.3. Any Applicant intending to participate in bidding for Short-Term Open Access shall register and obtain the "User ID" and initial "Password" in advance from the RLDC for its Authorized User(s). The "Registered Users" will be issued a system generated "User ID" and initial "Password" by RLDC to enable them to submit their 'Bid' electronically. Upon receipt of the User Id and initial password, the User shall immediately change the password. It shall be the responsibility of such Applicant to maintain its confidentiality/security and to prevent its misuse.

- 6.4. The Applicants shall submit their 'Bid' electronically through the web site of the CTU/ RLDCs. Only the Applicants, through their "Registered Users", shall be entitled to submit a Bid.
- 6.5. The Bids shall be accepted up to the "bid closing time" as indicated on the website of CTU/RLDCs, designated for e-bidding. Modification / amendment to a bid, once submitted, including submission of a second or subsequent bid by an Applicant, shall not be entertained.
- 6.6. In case the Applicant does not participate in the e-bidding process, his Application shall be considered as withdrawn.
- 6.7. Bidding process and the Approval of the Schedules of the bidders shall be as per following:
- The bid price shall be in addition to the Transmission Charges for Bilateral Transactions as specified in the Para 16 of the Regulations.
  - The Bidder shall quote price in terms of Rs./MWh in multiples of 10. The minimum price a bidder may quote is Rs. 10/MWh.
  - Approval of Short-Term Open Access for Advance Scheduling will be accorded in the decreasing order of price quoted.
  - In case of equal price quoted by two or more successful bidders, the approval for scheduling shall be made pro-rata to the scheduling request sought by them.
  - The Applicant, which gets approval for scheduling less than the scheduling request sought by him, shall pay the charges quoted by him. The Applicant getting approval for scheduling equal to the scheduling request sought by him shall pay the charges quoted by the last Applicant getting approval of its full scheduling request.

**7. PROCEDURE FOR SCHEDULING OF BILATERAL TRANSACTION ON "FIRST-COME-FIRST-SERVED" BASIS**

- 7.1. An Application shall be submitted to the nodal RLDC in a cover marked "Application for scheduling on - First-come-first-served basis".
- 7.2. Application received under the following categories shall be treated as "First-Come-First-Served" application:-
- i. Application received under "First Come First Served" category for Short-Term Open Access shall be considered only when transactions are commencing and terminating in the same calendar month.

- ii. Application for scheduling a Bilateral Transaction which is commencing in the same month in which Application is made, provided that such Application is received at least four (4) days in advance from the date of commencement of the Bilateral Transaction. All such Application shall be processed and decided within three days of their receipt.
- iii. Application received during the last ten (10) days of the first month, for scheduling of transactions in the second month. These Applications would be considered after completing the process for Advance scheduling of Bilateral Transaction in the second month. Accordingly, Applications received up to five (5) days prior to the end of the month shall be processed only after completing the process for Advance Scheduling of Bilateral Transactions for the second month.

- 7.3 All Applications received by the Nodal RLDC in a day up to 17:30 hrs shall be considered together for processing and shall have same priority. Applications received by the nodal RLDC after 17:30 hrs of a day shall be treated as having been received on next day.
- 7.4 Pro-rata scheduling acceptance shall be given in case scheduling requests of the Applicants is for more than the margins available.

**8. PROCEDURE FOR SCHEDULING OF DAY-AHEAD BILATERAL TRANSACTION**

- 8.1. Applications received within three days prior to the date of scheduling and up to 15:00 Hrs. of the day immediately preceding the day of scheduling shall be treated as having been received together for processing and shall have same priority.
- 8.2. Applications for Day Ahead transactions shall be processed only after processing of the Collective Transactions of the Power Exchange(s).
- 8.3. Pro-rata scheduling acceptance shall be given in case scheduling requests of the Applicants is for more than the margins available.

**9. PROCEDURE FOR SCHEDULING OF BILATERAL TRANSACTION IN A CONTINGENCY**

- 9.1. In the event of a contingency, the buyer or in its behalf, a trader may locate, and the power exchange may offer its platform to locate, a source of power to meet

short-term contingency requirement and make an Application to the Nodal RLDC.

- 9.2. Nodal RLDC shall accept such Application only after 1500 hrs of the day immediately preceding the day of scheduling.
- 9.3. Nodal RLDC shall take steps to incorporate such Bilateral Transactions in Day Ahead schedules/Same -Day schedules, as the case may be. In case of Same Day, the transaction shall be scheduled from the 6<sup>th</sup> time block, counting the block in which acceptance is accorded as the first time block.
- 9.4. Pro-rata scheduling acceptance shall be given in case scheduling requests of the Applicants is for more than the margins available.

#### **10. INCORPORATION OF BILATERAL TRANSACTIONS IN DAILY SCHEDULES BY THE RLDCs**

- 10.1. RLDCs shall incorporate the Bilateral Transactions (as per the acceptance accorded) in the Daily Schedules of the Regional Entities. The concerned SLDCs shall also incorporate such inter-State transactions to / from the point of injection / drawal in the Daily schedules issued by them.
- 10.2. The average energy losses shall be applied, as estimated on weekly basis, by Regional Load Despatch Centres for regional transmission system and as displayed in their respective website. For State transmission system, losses shall be applied as specified by the respective State Load Despatch Centres. The losses in the system shall be compensated by less drawal by the Drawee Utility. The net drawal schedule for Buying Utility will be the drawal schedule minus the estimated losses in the involved transmission system.
- 10.3. While finalizing the net drawl schedule / net injection schedule of Entities, each transaction will have a resolution of 0.01 MW at each State/inter-Regional boundaries.
- 10.4. The ramp-up and ramp-down, if required, in the Daily Schedules (issued by the RLDC) on account of such transactions, shall commence at the time of commencement of the transaction and shall end at the time of termination of the transaction respectively.

#### **11. REVISION OF SCHEDULE**

- 11.1. The Short-Term Open Access Schedules accepted by the Nodal RLDCs in case of “Advance Scheduling” or “First-Cum-First Served basis” may be cancelled or revised downwards by the Applicant by giving minimum two (2) days notice. The notice period shall be excluding the day on which notice is served and the day from which revised schedules are to be implemented.

- 11.2. The accepted schedules for Day-Ahead transactions and transactions in a Contingency shall not be revised or cancelled.
- 11.3. The Applicant, who has requested for revision or cancellation of the accepted schedule as above, shall pay the Transmission Charges and Operating Charges as per the originally accepted schedule, if the period of revision or cancellation is upto two (2) days. If the period of revision or cancellation exceeds two(2) days, the Transmission Charges and Operating Charges for the period beyond two (2) days shall be payable as per the revised accepted schedule and for the first two (2) days as per the original schedule.

*For example: Say an Applicant has been scheduled for 10 days from 21<sup>st</sup> day of a month to 30<sup>th</sup> day of a month for 100 MW on round the clock basis (i.e. for 2400 MWh per day). If this Applicant, on or before 18<sup>th</sup> day of that month, submits request for revision of schedule to 50MW on round the clock basis (i.e. 1200 MWh per day), the revised schedule will get implemented from the beginning of the transactions (i.e. 21<sup>st</sup> day of the month). The Applicant shall pay the Transmission Charges for 2400 MWh per day for the period from 21<sup>st</sup> to 22<sup>nd</sup> day of the month. Whereas for the period from 23<sup>rd</sup> day to 30<sup>th</sup> day of the month, Transmission Charges shall be payable for 1200MWh per day.*

*However, if the Applicant requests for such revision on 20<sup>th</sup> day of the month, his request will be implemented from 23<sup>rd</sup> day of the month. He shall pay Transmission charges for 2400 MWh per day for 2 days i.e. from 23<sup>rd</sup> to 24<sup>th</sup> day of the month and for the remaining period he shall pay the Transmission Charges based on 1200MWh per day.*

- 11.4. The margins becoming available as a result of such revision or cancellation shall be available for scheduling to any other Applicant in accordance with relevant provisions of Regulations on Open Access.
- 11.5. The short-term customers granted short-term open access prior to 15.06.2009 shall also be eligible for Cancellation/downward revision of Schedule by giving two days notice and by paying minimum two days transmission charges as per Regulation 15. The refund of transmission charges shall be in accordance with the rate at which the transmission charges were paid by the respective customer.

## **12. CURTAILMENT IN CASE OF TRANSMISSION CONSTRAINTS**

- 12.1. Bilateral Transactions shall be reduced or cancelled by the Regional Load Despatch Centre, if the Central Government allocates power from the Central Generating Station or Stations in a Region to a person in another Region and such allocation, in the opinion of the Regional Load Despatch Centre, cannot otherwise be implemented due to Congestion in the inter-Regional corridor. In the event of reduction or cancellation of already accepted schedules of Bilateral Transactions, the Applicant shall be suitably intimated by the RLDC.

- 12.2. When because of transmission constraint or to maintain grid security as decided by RLDC, it becomes necessary to curtail power flow on a transmission corridor, the transactions already scheduled, would be curtailed in the manner, which in the opinion of RLDC, would relieve transmission constraints/ enhance grid security. Subject to the provisions of the Grid Code, while cancellation or curtailment of any transaction, among short-term, medium term and long-term transactions, short-term transactions shall be cancelled or curtailed first, followed by medium term and thereafter long-term transactions. Short-Term Open Access Bilateral Transactions would be curtailed first followed by the Collective Transactions.
- 12.3. In case of transmission constraint or otherwise when Long Term Customer's power from one Region to another needs to be re-routed through the third Region, RLDC shall allow such re-routing to the extent of the surplus margin available without curtailing the existing Long-Term, Medium Term and Short-Term Open Access transactions.
- 12.4. In case of curtailment of the accepted schedules for any particular day for the reasons of transmission constraints or due to allocation of power from Central Generating Station from one Region to the person in other Region, the Transmission Charges for that day shall be payable on pro-rata basis in accordance with the transactions finally scheduled. Provided that the Operating Charges shall not be revised in case of curtailment.

### **13. COMMERCIAL CONDITIONS FOR BILATERAL TRANSACTION**

The following commercial conditions shall apply for the Bilateral Transaction:

#### **13.1. TERMS OF PAYMENT**

13.1.1. All payments associated with Bilateral Transaction shall be made by the Applicant to the Nodal RLDC.

13.1.2. The Applicant shall make the following payment to the Nodal RLDC within three working days from the date of acceptance of Bilateral Transactions. The charges for scheduling of Bilateral Transactions will be worked out on the basis of total MWh approved at the point of injection.

##### **a) Application Fees (as per Para 7 of Regulation)**

An Application made for each Bilateral Transaction shall be accompanied by a non-refundable fee of Rupees five thousand (Rs.5000/-) only. Provided that the fee for Bilateral Transaction on the day of the Application or on the day immediately following the day of the Application may be deposited within three working days of submission of the Application.

**b) Transmission charges (as per Regulation 16)**

Full amount for the entire period of Bilateral Transaction, in respect of the following:

1. Regional Transmission systems involved
2. STU/SEB/transmission licensees involved

**c) Operating charges (as per Regulation 17)**

Operating Charges at the rate of Rs.2000/- per day or part of the day of each for the entire period of Bilateral Transaction in respect of the following:

1. Each RLDC involved
2. Each SLDC involved

13.1.3. The Transmission charges for the use of the inter-State network shall be in Rs./MWh depending upon the type of transaction and shall be payable by the short-term customer for the energy approved at the point or points of injection. The rate for transmission charges shall be as follows:

	Type of Transaction	Transmission Charges (Total) Rs/MWh
(a)	Bilateral, intra-regional	80
(b)	Bilateral, between adjacent region	160
(c)	Bilateral, wheeling through one or more region	240

13.1.4. The Transmission charges for the use of the State network shall be in Rs/MWh, as determined by the respective State Commission and the same shall be intimated to RLDCs by concerned STU. Provided that in case the State Commission has not determined the Transmission charges, the charges for use of the respective State network shall be payable at the rate of Rs.80/MWh for the energy approved.

13.1.5. In case a State utility is the Buyer/Seller, the Operating Charges and the Transmission Charges shall not include the charges for that State network and the Operating Charges for that State Load Dispatch Center. A certificate in this regard from the concerned STU(s)/SLDCs shall be submitted by the Applicant.

13.1.6. All payments shall be remitted only by Bank draft/cheque drawn in favour of “\_\_\_\_\_RLDC Short Term Open Access Account” payable at par at the

location of the Nodal RLDC or by electronic transfer. No outstation cheques will be accepted.

13.1.7. The transaction wise payment details shall be submitted as per enclosed format [FORMAT-VII: "Details of Payment"].

**14. DEFAULT IN PAYMENT OF SHORT-TERM OPEN ACCESS CHARGES**

14.1. In case of default in payment of the Application fee or the charges specified under the Regulations specified by CERC, the nodal RLDC, at its discretion may not schedule the transaction or may cancel the scheduling of already scheduled transaction or may not entertain any Application of such Applicant in future until such time the default is cured.

14.2. The Applicant committing default in payment shall pay the simple interest at the rate of 0.04 % for each day of default from the Due Date of Payment.

14.3. In case a payment made by the Applicant through cheque has been dishonored, the Applicant shall immediately pay the amount due by demand draft or electronic transfer and no further cheque payment will be accepted from that Applicant for next three (3) months.

**15. DISBURSAL OF PAYMENT**

15.1. Nodal RLDC will reconcile the Short-Term Open Access Charges collected during the previous month and disburse the Transmission Charges and Operating Charges within 7 working days from the issuance of monthly Regional Energy Accounting by the respective Regional Power Committees.

15.2. The Transmission Charges for use of State network and Operating Charges for SLDCs shall be disbursed to the State Transmission Utility/SLDC concerned, after receiving the same from the Applicants.

15.3. Transmission charges collected for use of the transmission system other than that of the State network shall be disbursed by nodal RLDC to the RLDCs of the respective Region. The 25% of the Transmission Charges collected for use of the transmission system other than the State network shall be disbursed to CTU and balance 75% shall be disbursed to long-term customers through the RLDC of the respective Region in accordance with Regulation 25.

15.4. In case of refunds arising due to curtailment/revision of transactions during the previous month, the same shall also be disbursed to the concerned Applicants by 15<sup>th</sup> day of the current month.

15.5. Nodal RLDC does not have any responsibility towards non-payment as well as dishonoring of cheque(s) submitted by the Applicants. The amounts actually collected by RLDCs shall only be disbursed.

**16. GENERAL CONDITIONS**

16.1. The Entities which are making Application for the first time or intend to make, must submit the “One- Time” information as per enclosed format. [*FORMAT-VIII: “Registration Form*] to the concerned nodal RLDC. In case of any change in the existing information, the same shall be intimated to the concerned nodal RLDC.

16.2. The Application for Bilateral Transactions can be submitted through Post/fax.

16.3. Any amendment/modification to an existing Application, except for reasons specifically mentioned in the Procedure, shall be treated as a fresh Application.

16.4. The Nodal RLDC shall issue transaction-wise scheduling acceptance from:

I. The point of injection of a Seller to the injecting State’s periphery (control area boundary) as confirmed by the concerned SLDC, and

II. From the periphery (control area boundary) of injecting State up to the periphery (control area boundary) of the Drawee State as confirmed by the concerned RLDCs.

III. From the periphery (control area boundary) of the Drawee State to the point of drawal of Buyer as confirmed by the concerned SLDC.

16.5. The Applications of the Applicants, who have not been accorded the Acceptance for Scheduling of Bilateral Transactions, shall stand disposed off with suitable intimation to the concerned Applicant(s).

16.6. All costs/expenses/charges associated with the Application, including Bank Draft, shall be borne by the Applicant.

16.7. An incomplete/vague Application, and an Application not found to be in conformity with these Procedures and Regulations, shall be summarily rejected.

16.8. None of charges payable by Applicant(s) and/or any other Entities involved in the transaction shall be adjusted by them against any other payments/charges.

16.9. The Applicant shall abide by the provisions of The Electricity Act, 2003, Indian Electricity Grid Code and CERC Regulations, as amended from time to time.

16.10. The Applicant shall keep each of the SLDCs/ RLDCs indemnified at all times and shall undertake to indemnify, defend and save the SLDCs/RLDCs harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the transactions.

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**OPEN ACCESS (BILATERAL TRANSACTION)-APPLICATION FOR SCHEDULING**

To: Nodal RLDC (NRLDC / WRLDC /ERLDC /SRLDC / NERLDC)

Transaction Type: Advance/FirstCome First Served/Day-Ahead/Contingency For Month(in case of Advanced)\_\_\_\_\_

1	Application No:		Date	
2	Applicant Name		Registration Code	

3	Scheduling Request	DATE		Hours		*MW
		From	To	From	To	

4	Buyer/Seller Details		
		Injecting Entity	Drawee Entity
	Name of Entity		
	Entity in which it is embedded		
	Concerned SLDC/ Region		
	Whether Internalized(Yes/No)		
5	Applied route (from injection point to drawal point)		<e.g. (Orissa-ER-NR-Delhi)>
6	If re-routing to be considered, please specify the alternate Route(s)		

7	Payment details of Non-Refundable Application Fee(Rs. 5000/-)	
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8	<p><b>Declaration: The provisions of the Electricity Act 2003, IEGC,CERC regulations &amp; CTU Procedures with respect to bilateral transactions in inter state transmission as ammended from time to time are hereby understood and would be binding.</b></p> <p align="right">Signature (With Stamp)</p> <p>Date: _____ Name: _____ Place: _____ Designation: _____</p>
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9	For use of Nodal RLDCs
	Approval No:( If approved) Or reason of refusal(if refused)

\* MW at the Point of Injection.

**Note :- If applying for the first time, kindly attach Registration form (Format VIII).**

**Enclosures: 1. Consent of SLDCs / Affidavit**

**2. Bank Draft/Local Cheque. (if payment by Bank Draft/Cheque)**

**Copy to:**

1. \_\_ SLDC
2. \_\_ SLDC
3. \_\_ RLDC
4. \_\_ RLDC
5. \_\_ RLDC

**OPEN ACCESS (BILATERAL TRANSACTION) -CONCURRENCE FROM SLDC**

(to be enclosed along with Application)

To \_\_\_ SLDC

<b>1</b>	<b>Application No.:</b>	<b>Date</b>
<b>2</b>	<b>Applicant Name:</b>	
<b>3</b>	<b>Registration Code:</b>	

<b>4</b>	<b>Buyer/Seller Details</b>		
		<b>Injecting Entity</b>	<b>Drawee Entity</b>
	<b>Name of entity</b>		
	<b>Entity in which it is embedded</b>		
	<b>Concerned SLDC</b>		

<b>5</b>	<b>Concurrence Requested from SLDC(Where point of injection/Point of Drawl is located) :</b>				
	<b>DATE</b>		<b>HOURS</b>		<b>MW</b>
	<b>FROM</b>	<b>TO</b>	<b>FROM</b>	<b>TO</b>	

<b>6</b>	<b>Concurrence Accorded by SLDC(Where point of injection/Point of Drawl is located) :</b>				
	<b>SLDC Ref. No.:</b>				<b>Date</b>
	<b>DATE</b>		<b>HOURS</b>		<b>MW</b>
	<b>FROM</b>	<b>TO</b>	<b>FROM</b>	<b>TO</b>	

A curtailed concurrence (or no concurrence) is being granted on account of

\_\_\_\_\_

<<Authorized Signatory for SLDC where point of injection/drawl is located>>

**Signature (With Stamp)**  
**Name & Designation**

AFFIDAVIT

I, .....<<name of the person>>..... son of Shri ...<<father's name>>..... aged ...<<age>>..... Years and presently working with the .....<< name of the Company/Trading Licensee/organization>>..... having its registered office situated at .....<< address>>....., do hereby solemnly affirm and state as under:

1. THAT I am working as ...<<designation>>... with the ....<< name of the company>>....., is duly authorized to make this affidavit.
2. THAT I had made an [Application **OR** Application after removal of deficiency or rectification of defects, as communicated by the \_\_\_\_\_SLDC] {as the case may be} to \_\_\_\_\_ SLDC for seeking [“Concurrence” **OR** “Standing Clearance” **OR** “no objection”] {as the case may be} for grant of inter-State short-term open access in accordance with the format specified by the \_\_\_\_\_SLDC, if any on .....<< date of submission of application to SLDC>>..... A copy of the acknowledgement (or any other evidence in support of delivery of the application to the \_\_\_\_\_SLDC, given by the \_\_\_\_\_SLDC is enclosed along with this Affidavit as Annex-I.
3. THAT the State Load Despatch Centre has failed to convey any deficiency or defect in the application or its refusal or concurrence or ‘no objection’ or prior standing clearance, as the case may be, within the specified time i.e.; .....<< Date by which SLDC is to be respond>>..... { 3 working days /7 working days in case of first time applicant}
4. THAT the necessary infrastructure for time-block-wise energy metering and accounting in accordance with the provisions of the Grid Code in force, is in place; and are enclosed along with the affidavit – as Annex-II. It is also understood that the \_\_\_\_\_SLDC is responsible for the scheduling and energy accounting and RLDCs will not be responsible in any manner in this regard.
5. THAT I shall keep each of the RLDCs/NLDC indemnified at all times and shall undertake to indemnify, defend and save the RLDCs/NLDC harmless from any and all damages, losses, claims and actions arising out of disputes relating to scheduling, metering, billing, energy accounting, settlement etc. due to such short-term open access transaction.

DEPONENT

Verification:

Verified at ...<< place>>... on this ..... day of ...<<month>>....., ..<<year>>... that the facts stated in the above affidavit are true & correct to my knowledge and no part of it is false and nothing intended has been concealed therefrom.

DEPONENT





**OPEN ACCESS (BILATERAL TRANSACTION) - REQUEST FOR REVISION OF SCHEDULE DUE TO CONGESTION**

(to be sent by Applicant to Nodal RLDC )

Ref. No. :

Date :


Registration Code:

To,

NODAL RLDC \_\_\_\_\_

Ref. :< Application No.& Date> \_\_\_\_\_

Dear Sir,

With reference to your letter no. \_\_\_\_\_ dated \_\_\_\_\_ w.r.t above application , I do hereby confirm that (strikeout or delete the clauses which are not applicable )

- i) I would like to avail the Open Access (Bilateral Transaction)-Advance Schedule only for the duration when no congestion is anticipated in the corridor and I do not want to Schedule the applied power during the congestion period.
- ii) I would like to revise the request for the Open Access(Bilateral Transaction)- Advance Schedule from \_\_\_\_\_MW ( in original application ) to \_\_\_\_\_MW during the congestion period.
- iii) I would like to avail the part / full Open Access (Bilateral Transaction)- Advance Schedule through alternate route ( s ). I do hereby authorize \_\_RLDC ( Nodal RLDC) to process my application for scheduling through alternate route(s) , as follows :

Transmission Corridor	Congestion Period				Applied Schedule	Revised Schedule on original route	Revised Schedule on alternate route -1 (_____)	Revised Schedule on alternate route -2 (_____)
	DATE		HOURS					
	From	To	From	To		(MW)	*(MW)	*(MW)

In case of advance scheduling through alternate routes , the additional charges as per CERC Regulation will be paid by me.

(Signature)

PLACE :  
DATE :

Name :  
Designation :

**OPEN ACCESS (BILATERAL TRANSACTION)-ACCEPTANCE FOR SCHEDULING**

Nodal RLDC \_\_\_\_\_

Acceptance No. \_\_\_\_\_

Date: \_\_\_\_\_

Ref: Original Application No. \_\_\_\_\_

Date: \_\_\_\_\_

1 Name of applicant \_\_\_\_\_

Registration Code: \_\_\_\_\_

2 Name of injecting Entity /State /Region \_\_\_\_\_

3 Name of Drawee Entity /State /Region \_\_\_\_\_

4 Wheeling Region(s) \_\_\_\_\_

5 Open Access Scheduling Requested:

DATE		HOURS		Capacity (MW)	Route	Total MWh
From	To	From	To			

6 Open Access Scheduling Accepted:

DATE		HOURS		Capacity (MW)	Route	Total MWh
From	To	From	To			
					Total MWh	

7 BiddingDetails

Transmission System	From(Date)	To(Date)	From(Hrs)	To (Hrs)	Applicable Bid Rate (Rs./MWh)

8 Payment Schedule

Payment Due Date: \_\_\_\_\_

i) Transmission Charges

Trans. System	Rate (Rs/MWh)	MWh	Total(Rs)
.....STU/SEB			
.....STU/SEB			
Intra-regional/adjacent/Wheeling Bidding	30/60/90		
<b>Total of (i)</b>			

ii) Operating Charges

	Rate (Rs/Day)	Number of days	Total(Rs)
.....SLDC			
.....SLDC			
.....RLDC			
.....RLDC			
.....RLDC			
<b>Total of (ii)</b>			

iii) Non Refundable application fee( if not paid earlier)

**Grand Total(i+ii+iii)**

9 A curtailed acceptance is being granted on account of \_\_\_\_\_

10 This acceptance is subject to provisions of CERC (Open Access in inter-State Transmission) Regulations,2008.

To: 1.Applicant  
2.Concerned RLDCs  
3. Concerned SLDCs

Signature  
Name & Designation



**OPEN ACCESS (BILATERAL TRANSACTION) - REGISTRATION FORM**

To: Nodal RLDC \_\_\_\_\_

Registration code (if already registered)	
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1 Name of Applicant: \_\_\_\_\_

2 Address for correspondence

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3 Status of Applicant (Trader/SEB/Authorised agency/ISGS/IPP/Discom/PPP/Others(Pl. specify))

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4 Contact Details

4.1 Name			
Designation			
Phone	(Off)	(Res)	
Mobile		Fax	
E-Mail			

4.2 Name			
Designation			
Phone	(Off)	(Res)	
Mobile		Fax	
E-Mail			

5 Trading license number and type (If trader) \_\_\_\_\_

6 Concerned SLDC (if applicable) \_\_\_\_\_

7 Connectivity details to the grid (if Applicant is a CPP/IPP/Discom etc)- to be enclosed.  
(Details of boundry meters shall also be furnished in such cases)

8 Declaration

1. It is hereby agreed that MOU / Agreement(s) between buyer / seller / trader shall be executed before the application for scheduling of bilateral transaction is made and shall be produced on demand.
2. All entities ( including buyer, seller, trader ) to the transaction shall abide by the provisions of the Central Electricity Regulatory Commission "Open Access in inter-State Transmission Regulations, 2008" and provisions contained in Electricity Act 2003. & IEGC & its amendments from time to time.
3. The provisions of the 'Procedures for the scheduling of bilateral transactions' issued by CTU are hereby agreed.

Date:  
Place:

Signature:  
Name:  
Designation:

Encl: 1.Trading license (if applicable)  
2.Connectivity details to the grid